

12 01-28-02

3682/CPA

**CONTINUED PROSECUTION APPLICATION (CPA)
REQUEST TRANSMITTAL (Large Entity)**

Docket No.

5572.03

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

☐ **DUPLICATE** (Check box if applicable)

First Named Inventor

Examiner

Group/Art Unit

Gregory H. PETRAK

V. Luong

3682

Address to:

Assistant Commissioner for Patents

Box CPA

Washington, D.C. 20231

This is a request for filing a ☒ continuation, or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/441,628 filed on November 16, 1999 and entitled:

PARK BRAKE CABLE SYSTEM INCLUDING CONNECTOR CLIP

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is being filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
- a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

01/30/2002 MGBREN1 00000099 09441628

01 FC:131
02 FC:103740.00 OP
90.00 OP**RECEIVED**
FEB 01 2002
GROUP 3600

- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ An Information Disclosure Statement (IDS) is enclosed:
- a. ☐ PTO-1449
- b. ☐ Copies of IDS Citations
6. ☒ The fee for this application is calculated as follows:

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	25	- 20 =	5	x \$18.00	\$90.00
Indep. Claims	1	- 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$740.00
TOTAL FILING FEE					\$830.00

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
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7. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to
Deposit Account No. 04-1415

- ☒ fees required under 37 C.F.R. 1.16.
- ☒ fees required under 37 C.F.R. 1.17.
- ☐ fees required under 37 C.F.R. 1.18.

8. ☒ A check in the amount of \$830.00 is enclosed.

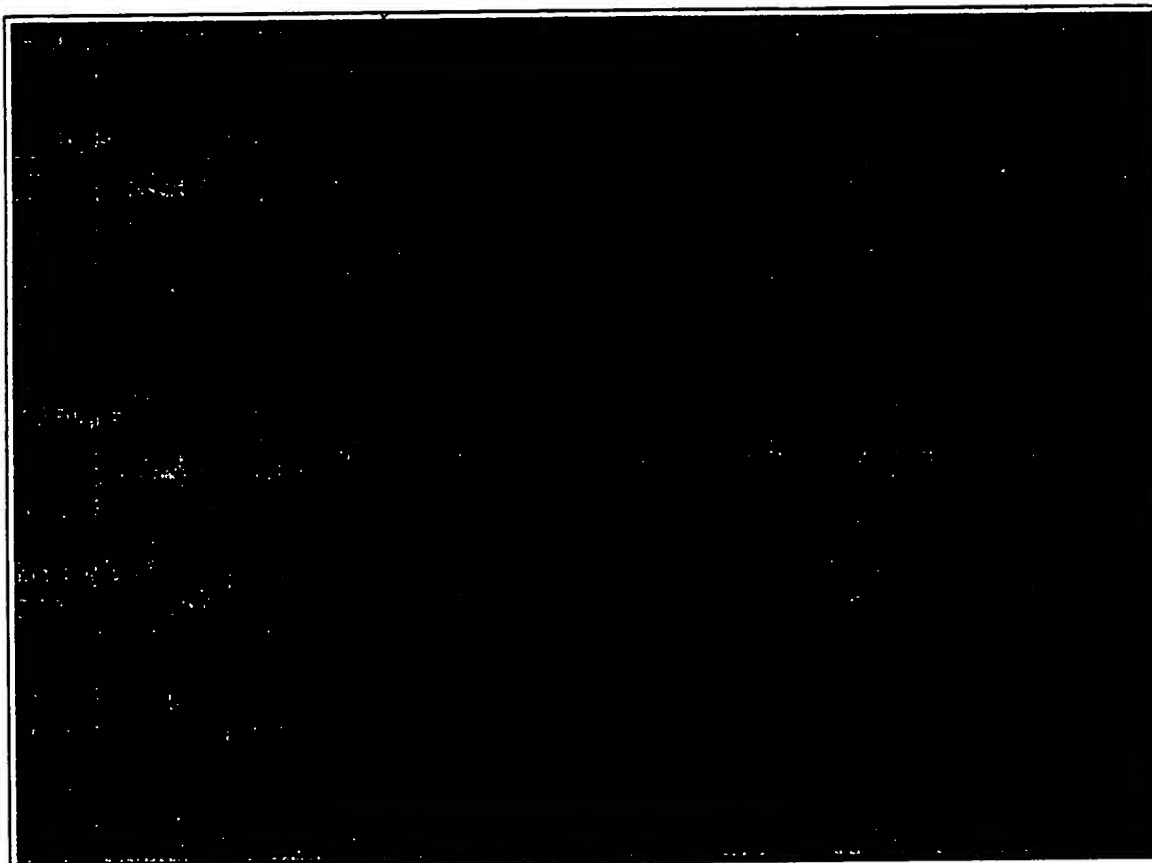
9. ☒ Also enclosed:
Certificate of Mailing by Express Mail
Return Postcard

10. ☒ The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below:

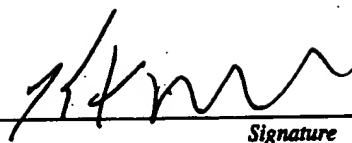
CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL (Large Entity)
(Only for Continuation or Divisional Applications Under 37 CFR 1.53(d))

NOTES

Submit an original, and a duplicate for fee processing.



Dated: January 25, 2002


Signature

Kurt P. Leyendecker

Typed or printed name

42,799

Registration Number (if applicable)



20686

PATENT TRADEMARK OFFICE

cc:

- ☐ Inventor(s)
- ☐ Assignee of complete interest
- ☒ Attorney or agent of record



PATENT
Attorney Docket No. 5572.03
Express Mail Label No. EF277714202US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory H. PETRAK

Serial No. 09/441,628

Filed: November 16, 1999

For: PARK BRAKE CABLE SYSTEM INCLUDING
CONNECTOR CLIP

Examiner: V. LUONG

Art Unit: 3682

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box CPA
Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the enclosed

1. Continued Prosecution Application (CPA) Request Transmittal;
2. Preliminary Amendment (8 pages);
3. Check in the Amount of \$830.00;
4. Certificate of Mailing by Express Mail; and
5. Return Card,


relating to the above application, were deposited as "Express Mail," Mailing Label No. EF277714202US with the United States Postal Service, addressed to Box CPA, Commissioner for Patents, Washington, D.C. 20231, on this 25th day of January 2002.

January 25, 2002

January 25, 2002



Mailer



Kurt P. Leyendecker
Attorney for Applicant
DORSEY & WHITNEY LLP
370 17th Street, Suite 4700
Denver, CO 80202-5647
USPTO Customer No. 20686



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Attorney Docket No. 5572.03
Express Mail Label No. EF277714202US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Gregory H. PETRAK

Serial No. 09/441,628

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Examiner: V. LUONG

Art Unit: 3682

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GROUP 3600

PRELIMINARY AMENDMENT

Box CPA
Commissioner for Patents
Washington, D.C. 20231

Sir:

Please enter the following amendments in this application:

In the Claims:

Please cancel claims 3-5 and amend 1 and 22-28 as follows:

- Sub
E2
C1
1. (Twice Amended) A park brake cable system comprising:
 - a brake actuation lever;
 - a connector clip having a first end and a second end, and including a shear member having a shear failure force, positioned between the first and second ends of said connector clip;
 - a brake assembly;